

Export LC Transfer - User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Transfer User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Transfer

Using the Export Transfer LC process, the user can register request for an Export LC Transfer received at the front desk.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Customer Response - Draft Confirmation	Multi Level Approval
Customer - Acknowledgement Format	Customer - Reject Format

Common Initiation Stage

The user can initiate the new export LC transfer request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

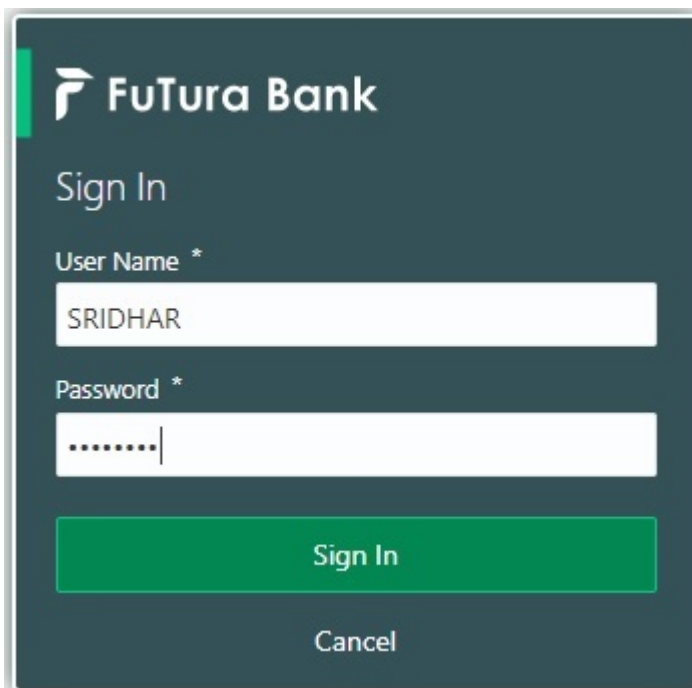
Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

During the Registration stage, the user can register a request for an Export LC Transfer received at the front desk (as an application received physically/received by mail/fax).

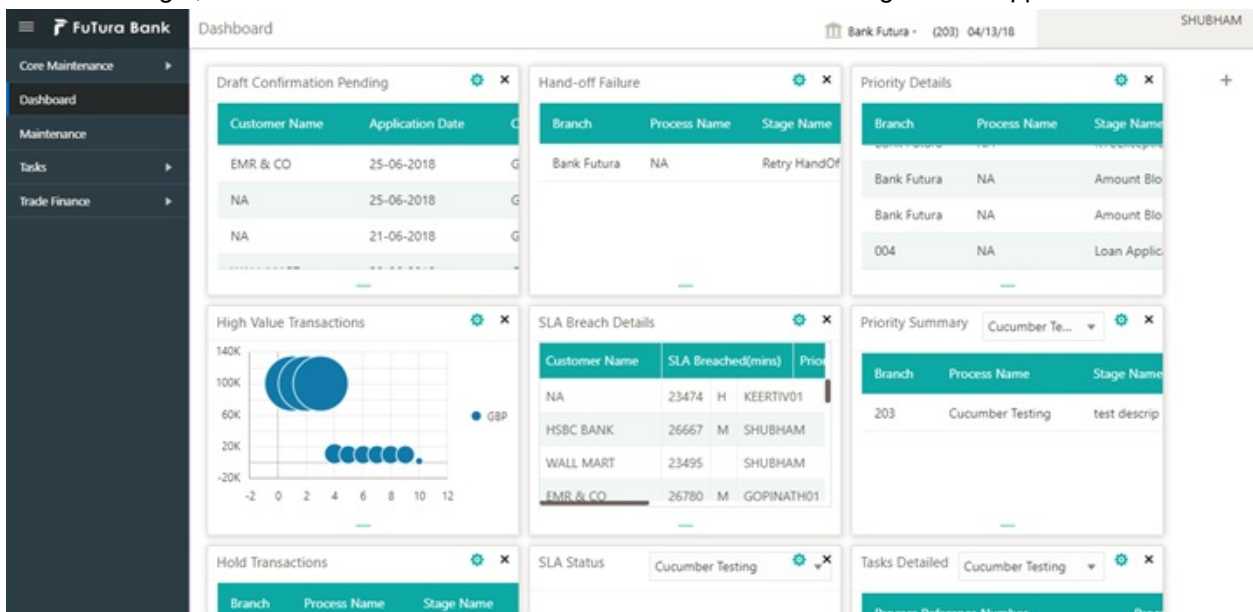
User can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. On submit of the request, the customer should be notified with acknowledgment and the request should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

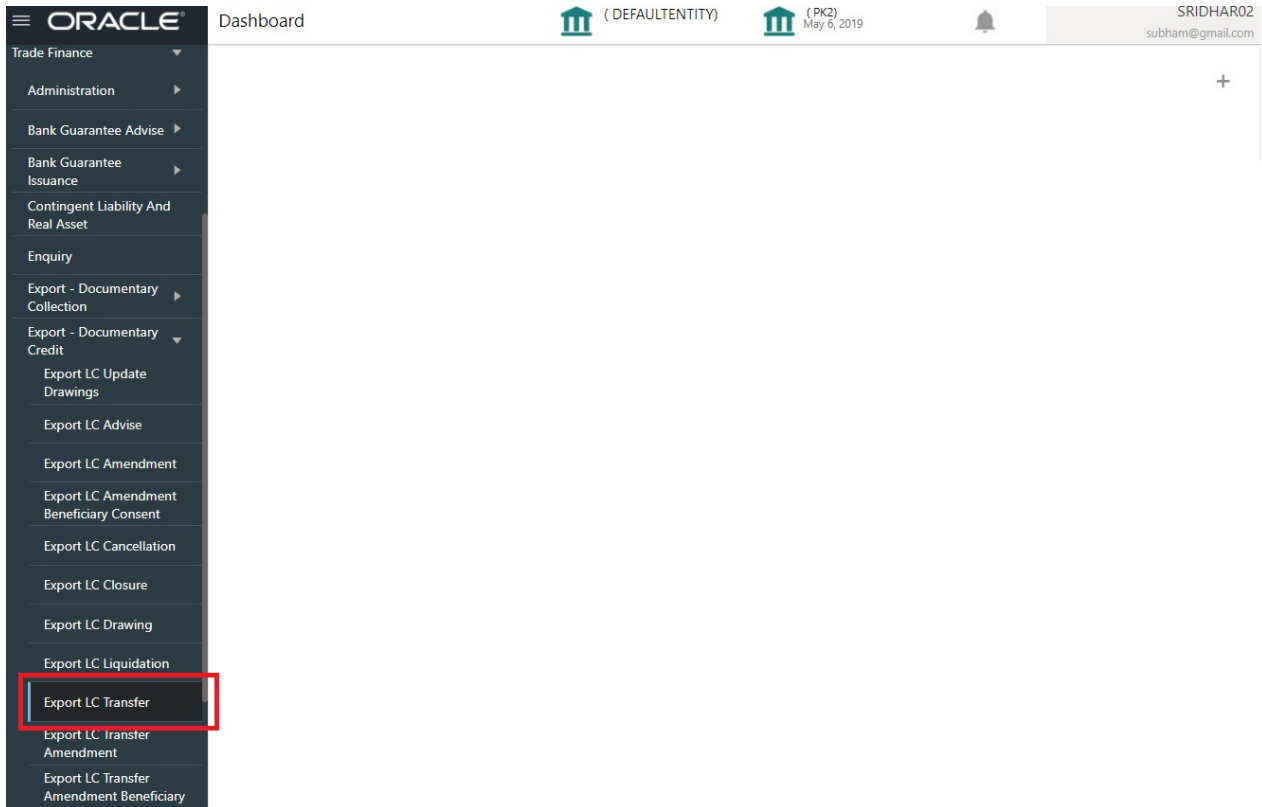


The image shows the 'Sign In' screen of the FuTura Bank application. It features the FuTura Bank logo at the top left. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters '.....'. At the bottom, there are two buttons: a green 'Sign In' button and a 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Transfer.



The Registration stage has two sections Application Details and Transfer LC Details. Let's look at the details of Registration screens below:

Application Details

Export LC Transfer
Documents
Remarks
Customer Instruction
Common Group Messages

Application Details

Export LC Reference Number *
PK2ELAC211252504

Available Amount *
GBP £20,878.00

First Beneficiary *
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £1,000.00

Process Reference Number
PK2ELCT000007109

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELAC211252504

Allow Substitution of Document
☐

View LC
Events

LC Details

LC Type
Sight

Advising Bank

Product Code
ELAC

Product Description
Import LC Usance Non Revolving

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELAC21125B6TQ

23 - Reference To Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCP600 LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry
xcvv

Second Beneficiary *
000322 Trade Indiv 2

39A - Percentage Credit Amount Tolerance
/

39C - Additional Amount Covered

Hold
Cancel
Save & Close
Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Export LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. User has to select the particular LC that need to be transferred. As part of LOV criteria; user can input the Transfer LC Reference Number, Beneficiary, Currency, Amount or User Reference Number.	
Available Amount	This field displays the available amount along with currency for Transfer. It must be less than or equals to LC amount.	
First Beneficiary	First Beneficiary details is defaulted from the underlying Export LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	By default the submission mode will have the value as 'Desk'. the user can change the submission mode. Desk - Request received through Desk FAX - Request received through FAX Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Transfer Amount	User has to input the Export LC transfer amount. Transfer amount plus tolerance cannot be more than value available in underlying Export LC. User cannot change the currency, it will be default from the underlying Export LC.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the branch's current date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing.	

LC Details

Details in this screen displays the data from the LC issued.

LC Details
LC Type
Sight
40A - Form of Documentary Credit
IRREVOCABLE
31C - Date of Issue
May 5, 2021
Second Beneficiary
000322 Trade Indiv 2

Advising Bank
Form of Documentary Credit Details
40E - Applicable Rules
UCPURR LATEST VERSION
39A - Percentage Credit Amount Tolerance
/

Product Code
ELAC
20 - Documentary Credit Number
PK2ELAC21125B6TQ
Date of Expiry
Aug 3, 2021
39C - Additional Amount Covered

Product Description
Import LC Usance Non Revolving
23 - Reference To Pre-Advice
31D - Place of Expiry
xcvv

Hold Cancel Save & Close Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Select the applicable LC Type from LOV The values are: - Sight - Usance - Mixed	
Advising Bank	User can enter the details if applicable. System should validate whether the Advising Bank is RMA compliant, if not system should display an error message.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	

Field	Description	Sample Values
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	User can select the Documentary Credit details.	
Documentary Credit Number	Generated from the back office and displayed in the application Number.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.	
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.	
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. Date of Expiry of Transferred LC cannot be later than the Expiry Date of the underlying Export LC.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen.	
Second Beneficiary	The user can select the beneficiary for Export LC Transfer. Click the look up icon to search the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values.	
Additional Amount Covered	User can provide additional amount included in Export LC.	

Miscellaneous

Export LC Transfer

Documents Remarks Customer Instruction Common Group Messages

Application Details

Export LC Reference Number *
PK2ELAC211252504

Available Amount *
GBP £20,878.00

First Beneficiary *
001044 GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £1,000.00

Process Reference Number
PK2ELCT000007109

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELAC211252504

Allow Substitution of Document
☐

View LC Events

LC Details

LC Type
Sight

Advising Bank

Product Code
ELAC

Product Description
Import LC Usance Non Revolving

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELAC21125B6TQ

23 - Reference To Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCPURR LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry
xcvv

Second Beneficiary *
000322 Trade Indiv 2

39A - Percentage Credit Amount Tolerance
/

39C - Additional Amount Covered

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User to upload the applicable documents. System displays the mandatory and optional documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Export LC Transfer request are:	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Field	Description	Sample Values
Verify Signature	System displays the details of Authorized signatories. The pop up box should display the signature id, signature title and image of the signature for verification	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Transfer Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All

Letter of Credit

Pro-forma Invoice

Letter of Credit

Application Form

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

[Link Document](#)

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Documents

Document Status: All

Letter of Credit
Pro-forma Invoice

Letter of Credit
Application Form

wqwq.png

Created - 2022-06-28
By - PERI01

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM_DOCTYPE001

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description:

Document Expiry Date: Jun 29, 2022

Remarks:

Drop files here or click to select

Current selected files: []

Update Cancel

Scrutiny

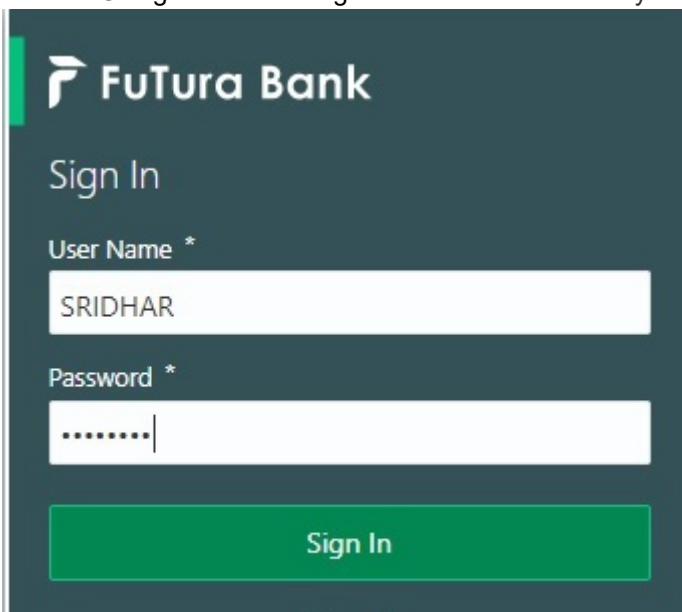
At Scrutiny stage, user can scrutinize the Export LC Transfer request. As part of Scrutiny, the user enters the basic details of the Export LC transfer request and can verify if the request can be processed further.

Non-Online Channel - Export LC Transfer request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage should be auto populated.

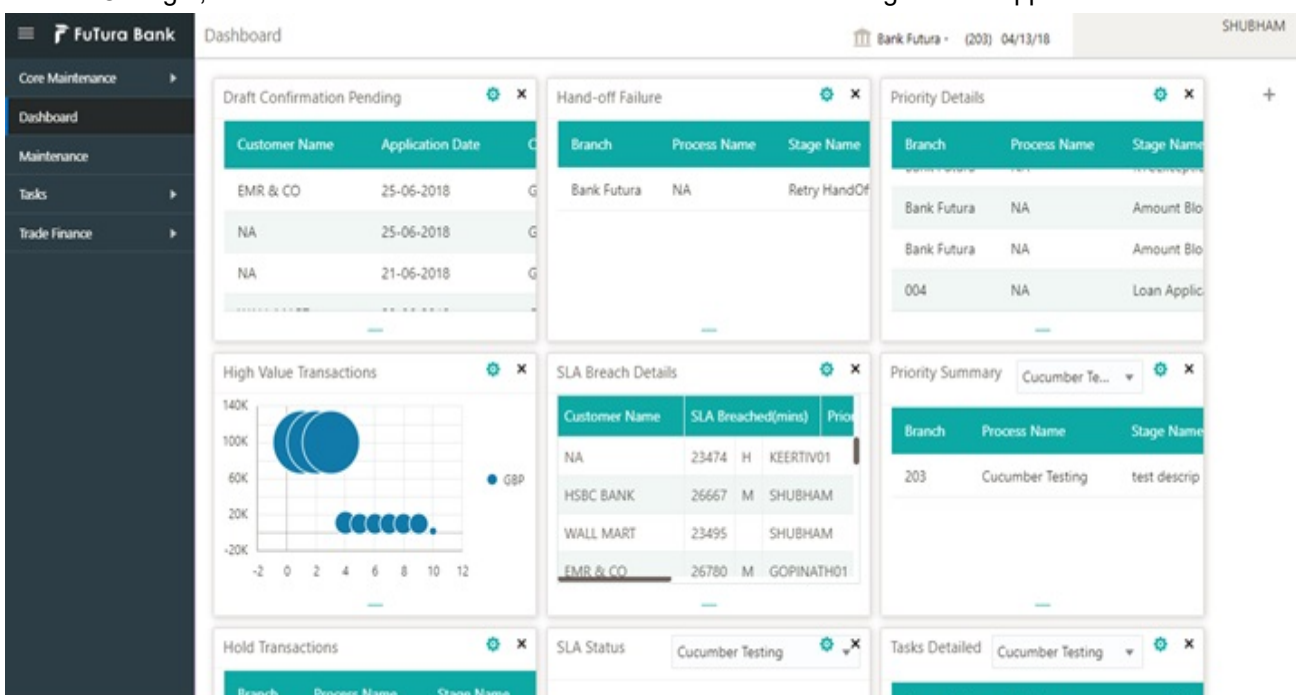
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043
Acquire & E...	M	Import Documentary Col...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCL000056693	PK2ILCL000056693	Scrutiny	21-05-25	PK2	001043
Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & E...		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043
Acquire & E...	M	Import Documentary Col...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCL000056693	PK2ILCL000056693	Scrutiny	21-05-25	PK2	001043
Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & E...		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	Scrutiny	21-05-26	PK2	001043	
Edit	M	Drawings Under Transfe...	PK2TLCD000056575	PK2TLCD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
Edit		Drawings Under Transfe...	PK2TLCD000056573	PK2TLCD000056573	Registration	21-05-23	PK2	000150	
Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
Edit	M	Export LC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
Edit		Import LC Issuance	PK2ILCL000056548	PK2ILCL000056548	Scrutiny	21-05-22	PK2	001044	
Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
Edit	M	Guarantee SBLC Advise...	PK2GAD000056493	PK2GAD000056493	DataEnrichment	21-05-20	PK2	001044	
Edit	M	Guarantee SBLC Advise...	PK2GAD000056467	PK2GAD000056467	AmountBlock Exception App...	21-05-20	PK2	001044	

The Scrutiny stage has three sections as follows:

- Main Details
- Availability
- Payment
- Additional Fields
- Additional Details

- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Transfer LC Details

Application Details

This section provides a quick snapshot of details of LC. User can enter the details in the following fields. Some of the fields that are already having value from registration/online channels will not be editable.

Export LC Transfer - Scrutiny :: Application No: PK2ELCT000007109

Screen (1 / 6)

- Main
- Availability
- Payment
- Additional Fields
- Additional Details
- Summary

Main

Application Details

Export LC Reference Number *	Available Amount *	First Beneficiary *	Branch
PK2ELAC211252504	GBP £20,878.00	001044 GOODCARE PLC	PK2-Oracle Banking Trade Finan...
Priority	Submission Mode	Transfer Amount *	Process Reference Number
Medium	Desk	GBP £1,000.00	PK2ELCT000007109
Transfer Date	Customer Reference Number	Allow Substitution of Document	
May 5, 2021	PK2ELAC211252504	☐	

LC Details

LC Type	Advising Bank	Product Code	Product Description
Sight		ELAC	Import LC Usance Non Revolving
40A - Form of Documentary Credit	Form of Documentary Credit Details	20 - Documentary Credit Number *	23 - Reference To Pre-Advice
IRREVOCABLE		PK2ELAC21125B6TQ	
31C - Date of Issue	40E - Applicable Rules	Date of Expiry *	31D - Place of Expiry *
May 5, 2021	UCPURR LATEST VERSION	Aug 3, 2021	xcvv
Second Beneficiary *	39A - Percentage Credit Amount Tolerance	39C - Additional Amount Covered	
000322 Trade Indiv 2	/		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Export LC Reference Number	Read only System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only - System should display the available amount along with currency for transfer.	
First Beneficiary	Read only - System displays the name of the Transferor-Applicant Name.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only System should display the submission mode selected.	Desk
Transfer Amount	Read only -System should display the Export LC transfer amount.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the LC Transfer Date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Registration user can capture the changes made to the LC in this section.

LC Details

LC Type Sight	Advising Bank 	Product Code ELAC	Product Description Import LC Usance Non Revolving
40A - Form of Documentary Credit IRREVOCABLE	Form of Documentary Credit Details 	20 - Documentary Credit Number * PK2ELAC21125B6TQ	23 - Reference To Pre-Advice
31C - Date of Issue May 5, 2021	40E - Applicable Rules UCPURR LATEST VERSION	Date of Expiry * Aug 3, 2021	31D - Place of Expiry * XCVVV
Second Beneficiary * 000322	39A - Percentage Credit Amount Tolerance /	39C - Additional Amount Covered 	

Trade Indiv 2

Reject Refer Hold Cancel Save & Close Back Next

Capture the response based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. System displays the selected LC Type from LOV	
Advising Bank	User can enter the details if applicable.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Second Beneficiary	This field displays the Second Beneficiary name selected for Export LC Transfer. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability

In this section user can input the Availability details for Export LC Transfer. In case the request is received through online channel, the user can verify the details populated.

Non Online Channel - Export LC Transfer request that are received at the desk will move to scrutiny stage post successful Registration. The transaction will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

Oracle My Tasks (DEFAULT IDENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Transfer - Scrutiny :: Application No: PK2ELCT000056936

Screen (2 / 6)

Availability

Availability Details

41a-Available with * CITIGB2LNNN

41a-Available By * BY ACCEPTANCE

42C-Drafts At

42a-Drawee

42 P/M - Payment Details

Shipment Details

43P-Partial Shipments ALLOWED

43T-Transshipment ALLOWED

44A-Place of Taking in Charge London

44E-Port of Loading

44F-Port of Discharge New York

44B-Place of Final Destination

44C-Latest Date of Shipment Jul 1, 2019

44D-Shipments Period

45A Description of Goods and/or Services

INCO Terms * CFR

INCO Terms Description Cost and Freight (named destination p)

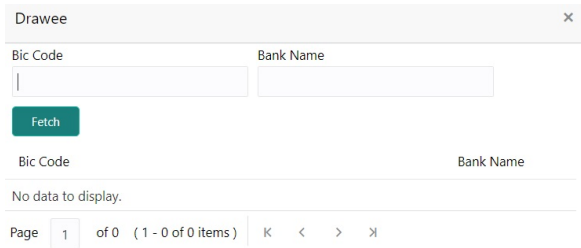
Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Available Units	Number of Units	Price per Unit	Total Amount	Action
MACHINI	G	MACHINE AS PER ORDER N							

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available. Online Channel - Read only Non Online Channel - It is an input field and user can enter the details. - If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. Available With BIC Bank Name Fetch BIC Bank Name No data to display. Page 1 (0 of 0 items) < 1 > On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. - If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Available By	This field identifies how the credit is available. Online Channel – Read-only. In case of online request, system should auto-populate the details. User cannot change the populated value. This field displays the value of 'Available By' as per the issued LC. Online Channel - Read only Non Online Channel - It is an input field and user can enter the details. Choose one of the following values from drop down, if required. • BY NEGOTIATION • BY PAYMENT  Note If By payment is selected, payment at sight is applicable.	
Drafts At	This field displays the details of tenor of drafts to be drawn under the documentary credit. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Drawee	This field will have value only if 'Drafts at' field has values. Select the Drawee bank (Advising bank or Confirming bank). Search the bank with SWIFT code (BIC) or Bank Name.  On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.  Note This field is mandatory if value is provided at Drafts At field. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Payment Details	This field is applicable if, Available By filed has Mixed Payment or Deferred Payment. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Shipment Details

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	This field specifies whether or not partial shipments are allowed under the documentary credit. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Transshipment	This field specifies whether or not transshipment is allowed under the documentary credit. Details should be defaulted from the underlying Transfer LC. Select the appropriate value from the drop down. Available values are: • ALLOWED • CONDITIONAL • NOT ALLOWED In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC.	
Port Of Loading	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place Of Final Destination	This field specifies the final destination or place of delivery to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Latest Date Of Shipment	Provide the latest date for loading on board/ dispatch/taking in charge.  Note: This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message. Latest shipment date should be on or before expiry date and should not be before the branch date. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Shipment Period	Online Channel – Read- only Non Online Channel - Provide the details of Shipment.  Note: This field is alternate to Latest Date of Shipment. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be changed if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Details should be defaulted from the underlying LC. User should be able to change the details. In case of online request, system should auto-populate the details. User cannot change the populated value.	
INCO Description	Respective INCO term Description will be defaulted as per the INCO Terms code.	
+ Icon	Click + icon to add goods details.	

Field	Description	Sample Values
Goods Code	Goods Details is defaulted from the underlying LC and user can change the values. Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is defaulted from the underlying LC and user can change the values.	
Goods Description	The goods description is defaulted from the underlying Transfer LC and user can change the values.	
No of Units	The system displays the original number of units from the underlying Transfer LC.	
Price per Unit	The system displays the original price per unit from the underlying Transfer LC.	
Available Units	The system should display the available units of the goods. User should not be allowed to update this.	
No of Units	Specify the number of units. The system should display an error message if the value for units transferred is more than the units available.	
Price per Unit	Specify the price per unit is not more than the original price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Delete icon to remove goods details. Click Edit icon to edit the good details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Payment Details

In this section, user can input the Payment details for an Export LC Transfer. As part of Scrutiny, the user can enter the Payment details of an Export LC Transfer request.

No fields other than the highlighted ones can be edited.

Field	Description	Sample Values
Special Payment Conditions for Beneficiary	Online and Non online Channels - If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Special Payment Conditions for receiving Bank	Online and Non online Channels - If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Period for Presentation	Online Channel - Read only. Non Online Channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Confirmation Instructions	Online Channel - Read only. Non Online Channel – Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT. Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following: a) SWIFT code (if available), b) Name and address of the bank On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Requested Confirmation Party	Online and Non Online Channels - Provide requested confirmation party details. If the Requested Confirmation Party has the value as "Others" then appropriate value must be selected from the LOV.  Note This field is applicable only for LC Type - Confirmed or May Add. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Reimbursing Bank	If reimbursing bank is applicable user must update the field. Online Channel - Update the details received. Non Online Channel - Search through LOV. Party type with banks will be displayed in LOV. • SWIFT code (if available), • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Advise Through Bank	Online Channel – User can update the details received. Non-Online Channel - Search through LOV. Party type with banks must be displayed in LOV. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Instructions to P/A/N Bank	Online Channel - User can update details received. Non Online Channel - Provide the details in this field. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Sender to Receiver Information	Online Channel - User can update details received. Non Online Channel - Provide details (FFT).	
Charges	Online Channel - User can update details received. Non Online Channel - Provide details (FFT). In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Fields

In this section, the user can input in the additional fields implemented by the bank for Export LC Transfer .

Any user defined fields maintained at the bank level should be available in this Additional field details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

In the Additional Details section, the user can verify/input/update the additional details Data Segment of the Export LC Transfer.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

Export LC Transfer - Scrutiny :: Application No: PK2ELCT000056936

Screen (5 / 6)

Additional Details

Commission,Charges and...

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Commission, Charges and Taxes Details

Landing on the additional tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and Taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017

Page 1 of 1 (1-2 of 2 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Cancel

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment..	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated for Export LC Transfer. The user can see the summary tiles. The tiles should display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Oracle My Tasks (DEFAULTTENTIVITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Transfer - Scrutiny : Application No: PK2ELCT000058480

Screen (6 / 6)

Summary

Main	Availability	Payment	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06	Available With : any Available By : NEGOTIATION Port of Loading : port B Port of Discharge : port C	Period of Present. : WITHOUT Confirmation Instr. : WITHOUT	Click here to view Additional fields

Revolving Details	Commission, Charges and Taxes
Revolving : N Revolving In : Revolving Frequency :	Charge : GBP50 Commission : Tax : Block Status : Not Initia

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- **Main Details** - User can view the application details details and Export LC details. User can modify the details, if required.
- **Availability** - User can view already captured availability and shipment details. User can modify the details, if required.
- **Payments** - User can view all details related to payments. User can modify the details, if required.
- **Additional Fields**: Banks can configure the additional fields during implementation.
- **Commission, Charges and Taxes**: User can see the details provided for charges. User should be able to update the details if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click of Back to move the task to the previous segment.	
Submit	On Submit, system validates all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	

Data Enrichment

As a part of Data Enrichment stage, User can enter/update basic details of the incoming request.

Non Online Channel - Export LC Transfer request that were received at the desk will move to DE stage post successful registration and scrutiny stage. The transaction will have the details entered during the registration/scrutiny stage.

Online Channel - Request that are received via online channels like trade portal, external system and SWIFT are available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

The user can select the respective field and will be allowed to edit/update the field.

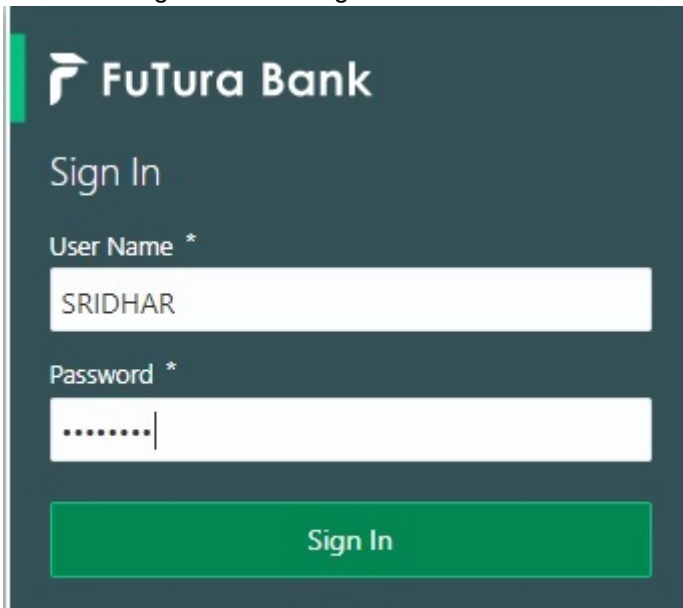


Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

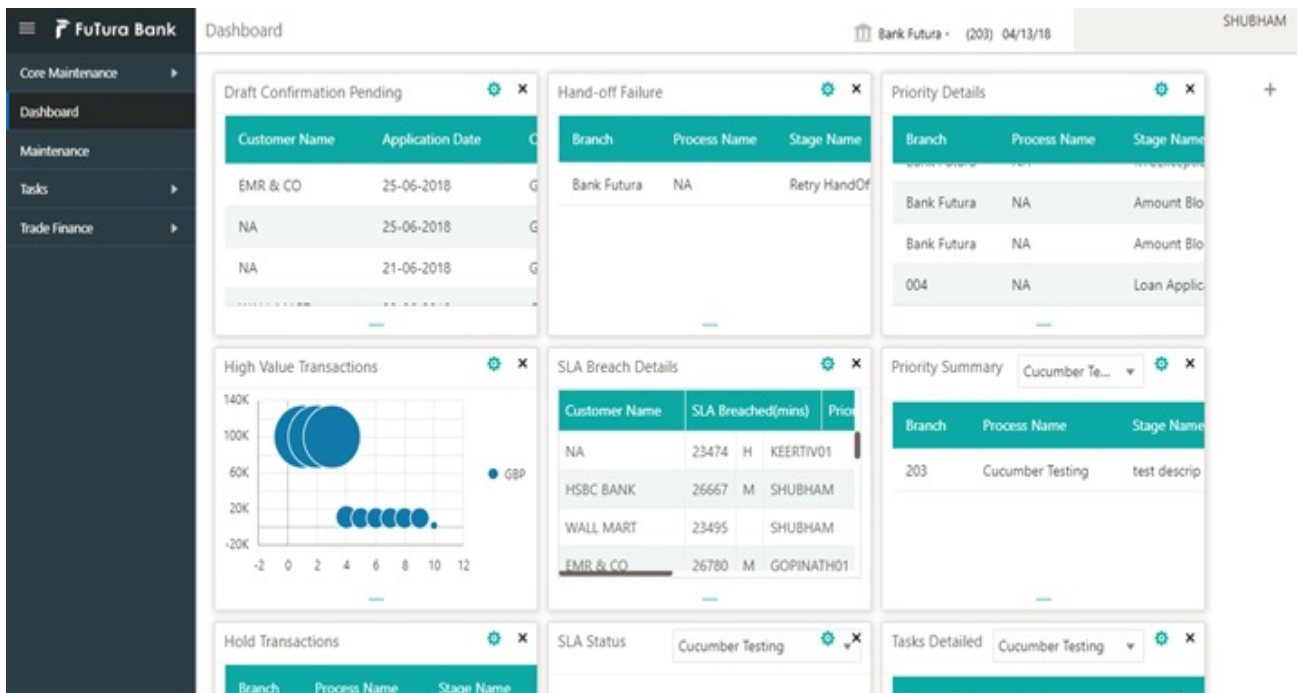
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

- Dashboard
- Maintenance
- Tasks
- Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
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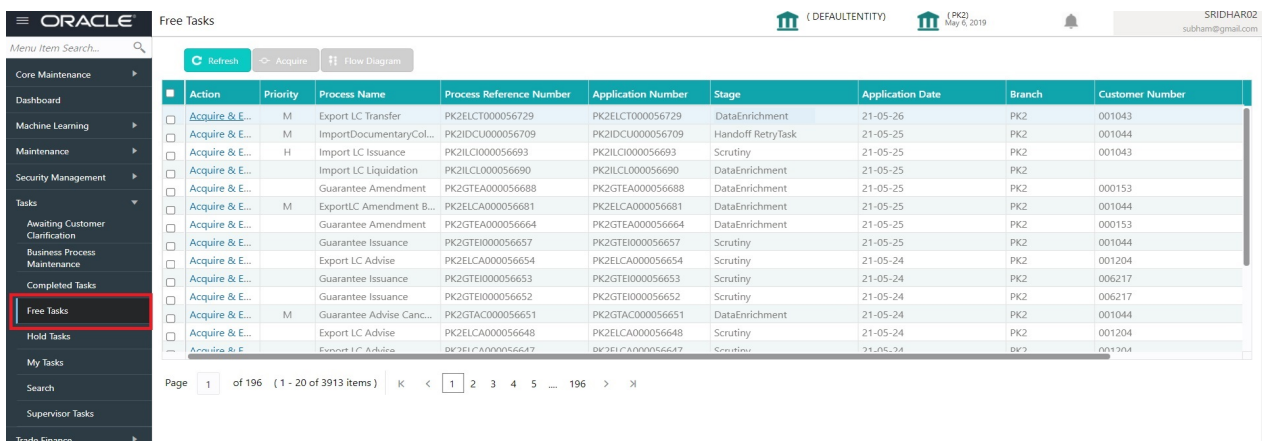
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



ORACLE Free Tasks

Menu Item Search...

Core Maintenance

- Dashboard
- Machine Learning
- Maintenance
- Security Management
- Tasks
- Awaiting Customer Clarification
- Business Process Maintenance
- Completed Tasks
- Free Tasks**
- Hold Tasks
- My Tasks
- Search
- Supervisor Tasks
- Trade Finance

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043
Acquire & E...	M	ImportDocumentaryCol...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
Acquire & E...		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
Acquire & E...		Guarantee Amendment B...	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
Acquire & E...	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
Acquire & E...		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
Acquire & E...		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
Acquire & E...		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
Acquire & E...	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
Acquire & E...		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204
Acquire & E...		Export LC Advise	PK2ELCA000056647	PK2ELCA000056647	Scrutiny	21-05-24	PK2	001204

Page 1 of 196 (1 - 20 of 3913 items)

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒ Acquire & Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043
☐ Acquire & Edit	M	Import Documentary Col...	PK2IDCU000056709	PK2IDCU000056709	Handoff RetryTask	21-05-25	PK2	001044
☐ Acquire & Edit	H	Import LC Issuance	PK2ILCI000056693	PK2ILCI000056693	Scrutiny	21-05-25	PK2	001043
☐ Acquire & Edit		Import LC Liquidation	PK2ILCL000056690	PK2ILCL000056690	DataEnrichment	21-05-25	PK2	
☐ Acquire & Edit		Guarantee Amendment	PK2GTEA000056688	PK2GTEA000056688	DataEnrichment	21-05-25	PK2	000153
☐ Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000056681	PK2ELCA000056681	DataEnrichment	21-05-25	PK2	001044
☐ Acquire & Edit		Guarantee Amendment	PK2GTEA000056664	PK2GTEA000056664	DataEnrichment	21-05-25	PK2	000153
☐ Acquire & Edit		Guarantee Issuance	PK2GTEI000056657	PK2GTEI000056657	Scrutiny	21-05-25	PK2	001044
☐ Acquire & Edit		Export LC Advise	PK2ELCA000056654	PK2ELCA000056654	Scrutiny	21-05-24	PK2	001204
☐ Acquire & Edit		Guarantee Issuance	PK2GTEI000056653	PK2GTEI000056653	Scrutiny	21-05-24	PK2	006217
☐ Acquire & Edit		Guarantee Issuance	PK2GTEI000056652	PK2GTEI000056652	Scrutiny	21-05-24	PK2	006217
☐ Acquire & Edit	M	Guarantee Advise Canc...	PK2GTAC000056651	PK2GTAC000056651	DataEnrichment	21-05-24	PK2	001044
☐ Acquire & Edit		Export LC Advise	PK2ELCA000056648	PK2ELCA000056648	Scrutiny	21-05-24	PK2	001204

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	M	Export LC Transfer	PK2ELCT000056729	PK2ELCT000056729	DataEnrichment	21-05-26	PK2	001043	
☐ Edit	M	Drawings Under Transfe...	PK2TLCD000056575	PK2TLCD000056575	KYC Exceptional approval	21-05-23	PK2	000152	
☐ Edit		Drawings Under Transfe...	PK2TLCD000056573	PK2TLCD000056573	Registration	21-05-23	PK2	000150	
☐ Edit		Import LC Liquidation	PK2ILCL000056570	PK2ILCL000056570	DataEnrichment	21-05-22	PK2		
☐ Edit		Export LC Transfer Ame...	PK2ELCT000056562	PK2ELCT000056562	Registration	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
☐ Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
☐ Edit	M	Export LC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
☐ Edit		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044	
☐ Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
☐ Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
☐ Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
☐ Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	

The Data Enrichment stage has following sections as follows:

- Main Details
- Availability
- Payment
- Documents and Conditions
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

ORACLE My Tasks (DEFAULTTIVITY) (PK2) May 6, 2019 SRIDHAR02 : subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000058480

Screen (1 / 9)

Main

Application Details

Export LC Reference Number * PK2ECCT19126ADW8

Available Amount * GBP £10,000.00

First Beneficiary * 001044 GOODCARE PLC

Branch PK2-FLEXCUBE UNIVERSAL BANK

Priority Medium

Submission Mode Desk

Transfer Amount * GBP £1,000.00

Process Reference Number PK2ELCT000058480

Transfer Date May 6, 2019

Customer Reference Number PK2ECCT19126ADW8

Allow Substitution of Document

LC Details

LC Type Slight

40A - Form of Documentary Credit IRREVOCABLE

31C - Date of Issue May 6, 2019

Second Beneficiary * 006214 Good Health

Advising Bank

Form of Documentary Credit Details

40E - Applicable Rules UCP LATEST VERSION

39A - Percentage Credit Amount Tolerance /

Product Code ECCT

20 - Documentary Credit Number * PK2ECCT19126AMDL

Date of Expiry * Oct 24, 2019

39C - Additional Amount Covered

Product Description Export LC for Transfer

23 - Reference To Pre-Advice

31D - Place of Expiry * NYC

Audit Reject Refer Hold Cancel Save & Close Back Next

Application Details

Field	Description	Sample Values
Export LC Reference Number	Read only. System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only. Displayed as available from earlier stages.	
First Beneficiary	Read only. Displayed as available from earlier stages.	EMR & CO
Branch	Read only. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only. Displayed as available from earlier stages.	Desk
Transfer Amount	Read only. Displayed as available from earlier stages.	
Process Reference Number	Read only. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Transfer Date	Read only System should default the branch's current date	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	
First Beneficiary	Read only - Displayed as available from earlier stages.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	Read only - Priority default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only Displayed as available from earlier stages.	Desk
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only. If the field is checked, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Field	Description	Sample Values
LC Type	Read only field. The value used for LC Type as per the latest LC details should be displayed.	
Advising Bank	The Advising Bank as per the latest LC details should be displayed.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Date Of Expiry	The expiry date as per the latest LC details should be displayed.	
Place of Expiry	The place of expiry as per the latest LC details should be displayed.	
Second Beneficiary	Beneficiary name selected for Export LC Transfer to be displayed. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability

DE user, can update/review the Availability details for Export LC Transfer.

DE user can select the respective field and should be allowed to edit/update the field. DE user can change only some of the fields received from online channels.

Export LC Transfer - Data Enrichment :: Application No: PK2ELCT000058480

Screen (2 / 9)

Availability

Availability Details

41a-Available with 41a-Available By 42C-Drafts At 42a-Drawee

42 P/M - Payment Details

Shipment Details

43P-Partial Shipments 43T-Transshipment 44A-Place of Taking in Charge 44E-Port of Loading

44F-Port of Discharge 44B-Place of Final Destination 44C-Latest Date of Shipment 44D-Shipments Period

45A Description of Goods and/or Services

INCO Terms INCO Terms Description

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Available Units	Number of Units	Price per Unit	Total Amount	Action
COTTON	G	cotton	50	£100.00	50				☐ ☐
MACHINI	G	MACHINE AS PER ORDER N	50	£100.00	50				☐ ☐

Audit

Reject Refer Hold Cancel Save & Close Back Next

For Field Descriptions, refer to [Availability](#).

Payments

DE user can verify and enter the basic details available in the Export LC Transfer request.

The screenshot shows the Oracle Payments interface for an Export LC Transfer request. The left sidebar contains a navigation menu with options: Main, Availability, Payment (selected), Documents and Conditions, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Payment Details' and contains several input fields for payment information, including '49G-Special Payment conditions for beneficiary', '49H-Special Payment conditions for receiving bank', '48-Period for Presentation', '49-Confirmation Instructions', '58A - Requested Confirmation Party', '53A - Reimbursing Bank', '57A-Advise Through Bank', '78-Instructions to P/A/N Bank', '72-Sender to Receiver Information', and '71D - Charges'. The interface also includes a top navigation bar with 'My Tasks' and 'ORACLE' logo, and a bottom bar with buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Refer to [Payments](#).

Documents and Conditions

User can enter/ update Documents and conditions details for Export LC Transfer. The below fields can be modified in DE stage.

- Document Details
- Additional Conditions.

The screenshot shows the Oracle Documents and Conditions interface for an Export LC Transfer request. The left sidebar contains a navigation menu with options: Main, Availability, Payment, Documents and Conditions (selected), Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Documents and Conditions' and contains a table for 'Document Details' with columns: Code, Name, Copy, Original, and Description. The table lists five documents: 'All', 'IN', 'IN', 'M', and 'OT'. Below the table is a pagination bar showing 'Page 1 of 1 (1-5 of 5 items)'. The interface also includes a top navigation bar with 'My Tasks' and 'ORACLE' logo, and a bottom bar with buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Document Details

Documents details should default from underlying LC. If Substitute documents allowed is checked, system to give a warning message” Substitution of Documents allowed, please verify the documents”

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen. Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen..

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. User should also be able to add additional FFT.

Documents and Checklist:

Documents: No documents are required for upload in this screen.

Checklist: User to verify if standard clauses are added to Additional conditions.

Remarks: User should be able to capture remarks as well as see remarks made in the earlier screens/stages

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Fields

Refer to [Additional Fields](#).

Advices

DE user can view the Advices generated during Export LC Transfer request. Advices menu displays the advices available under a product code from the back office as tiles. Some of the possible advices could be of Transfer LC Instrument (SWIFT MT 720), Transfer LC Instrument Copy, and Payment message.

The screenshot shows the Oracle Export LC Transfer application interface. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information (PRASHANT01, subham@gmail.com). The main header displays 'Export LC Transfer - DataEnrichment :: Application No: 300ILCI000038905'. A left sidebar contains a menu with options: Main, Availability, Payment, Documents and Conditions, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The main content area is titled 'Advices' and displays four advice tiles:

- Advice : TRANSFER LC INSTRUMENT**
Advice Name : TRANSFER LC INSTRUMENT
Advice Party : ABK
Party Name : WELLS FARGO LA
Suppress : NO
Advice
- Advice : TRANSFER LC INSTR_COPY**
Advice Name : TRANSFER LC INSTR_COPY
Advice Party : APP
Party Name : MARKS AND SPENCER
Suppress : NO
Advice
- Advice : TRANSFER LC INSURANCE ADV**
Advice Name : TRANSFER LC INSURANCE ADV
Advice Party :
Party Name :
Suppress : YES
Advice
- Advice : PAYMENT MESSAGE**
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

At the bottom of the interface, there is an 'Audit' button on the left and a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The bottom right corner indicates 'Screen (6 / 8)'.

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

☐

Party ID

001044

Advice Name

GUA_CLAIM_ADV

Medium

MAIL

Advice Party

APP

Party Name

GOODCARE PLC

FFT Code

+

-

No data to display.

Instructions

OK

Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required.	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

DE user can verify and enter the basic additional details available for the Export LC Transfer request.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

Oracle My Tasks (DEFAULTTENTIVITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000058515

Screen (7 / 9)

Additional Details

Revolving Details	Commission, Charges and...	Preview Messages
Revolving : No	Charge :	Language :
Revolving In :	Commission :	Preview Advice : -
Revolving Frequency :	Tax :	
	Block Status : Not Initiated	

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Messages

Preview - SWIFT Message

Language: English Message Type: 700

Preview Message

```
{1:F01LOYDGB2LA00X1111111111}
{2:700WFB/US65XXXN}
{3:{108:300MSOG160011LX}}
{4:
:27:1/1
:40A:IRREVOCABLE
:20:300ILSN16001B6GB
:31C:160101
:40E:UCP LATEST VERSION
:31D:160531LONDON
:50:MARKS AND SPENCER
MARGUS2SXXX
:59:GOODCARE PLC
12 KING STREET
:32B:GBP100,
:41A:SKIVDK22SON
BY PAYMENT
:43D:NOT ALLOWED
```

Preview - Mail Advice

Language: English Advice Type:

Preview Message

Buttons: Save & Close, Cancel

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	



Note

Preview to have MT 720 as SWIFT and other advices as Mail Advice. This needs to be mentioned under Preview messages heading.

Draft Confirmation

The user can view the draft LC message (outgoing MT700 SWIFT message format) being displayed on the preview message text box.

If the user wants to send a copy of the draft LC for customer confirmation, the same can be done by choosing the customer response slider as 'Yes'. On submit of the data enrichment stage the mail message to the customer will be sent.

The task will not move to approval but to 'Pending customer response stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer asks for changes, the transaction will move to data enrichment and after necessary changes, it will move to approval.

Draft Confirmation

Draft Confirmation Required



Customer Remarks

Customer Primary Email Id

Customer Response

Response Date

Customer Secondary Email Id

✓ Save & Close ✕ Cancel

Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and Taxes

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1

(0 of 0 items)

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1

>

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017

Page 1

of 1 (1-2 of 2 items)

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1

>

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close

Cancel

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Limit and Collateral

Limits Details

System will display the Limits available as applicable during the issuance.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can ha

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
-------------	--	--

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note <u>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</u>	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	This field displays the limits description.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Revolving Details

Revolving

Revolving

No

Revolving In

Revolving Frequency

Revolve Units

Next Reinstatement Date

mm/dd/yy

Cummulative

Automatic Reinstatement

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

Action Buttons

For Action Buttons, Refer to [Additional Details](#).

Settlement Details

The user can view/input the settlement details for Export LC Transfer request. The following are the list of fields to be displayed.

ORACLE My Tasks (DEFAULTTENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000056498

Screen (8 / 9)

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AELAC_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LCADVBC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LCEXADV_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	Application displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Transfer request. As part of summary screen, I should be able to see the summary tiles. The tiles should display a list of important fields with values.

Oracle | My Tasks | (DEFAULTTENITY) | (PK2) May 8, 2019 | SRIDHAR02 subham@gmail.com

Export LC Transfer - DataEnrichment :: Application No: PK2ELCT000058515

Summary

Main Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Availability Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Payment Period of Present. : Confirmation Instr. : WITHOUT	Documents and Conditions Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Additional Fields Click here to view : Additional fields
Advices Advice 1 : Advice 2 :	Revolving Details Revolving : N Revolving In : Revolving Frequency :	Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Preview Messages Language : ENG Preview Message : -	Settlement Details Component : Account Number : Currency :
Accounting Details Event : Account Number : Branch :	Party Details Applicant : GOODCARE PLC Confirming Bank : WELLS FARG Beneficiary : 300WALKIN	Compliance KYC : Not Initia Sanctions : Not Initia AML : Not Initia		

Audit | Reject | Refer | Hold | Cancel | Save & Close | Back | Print | Submit

Screen (9 / 9)

Tiles Displayed in Summary

- **Main Details** - User can view the application details details and Transfer LC details. User can modify the details, if required.
- **Availability** - User can view already captured availability and shipment details. User can modify the details, if required.
- **Payments** - User can view all details related to payments. User can modify the details, if required.
- **Additional Fields**: Banks can configure the additional fields during implementation.
- **Limits and Collaterals**: User should be able to see captured details of limits and collateral. User should be able to update data of any field in details.
- **Commission, Charges and Taxes**: User can see the details provided for charges. User should be able to update the details if required.
- **Settlement Details**: User can see the Settlement details.
- **Revolving Details**: User can drill down into revolving details tile to see more information on revolving LC if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Back	Click of Back to move the task to the previous segment.	

Customer Response - Draft Confirmation

The user can review and handle the customer's response received for the draft confirmation for Transfer LC transactions, which is sent to the customer for their verification and confirmation.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

Non Online mode - User will have a physical response of the customer.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

Application

All fields displayed under Application details section, would be read only.

Received from Applicant Bank: Read Only field

Received from-Customer Id: Read Only field

Customer Name: Read Only field.

Branch: Read Only field.

Currency Code: Read Only field.

Amount: Read Only field.

Priority: Read Only field

Submission Mode: Read Only field.

Process Reference Number: Read Only field

Application Date: Read Only field.

Customer Reference Number: Read Only field.

Customer Response

All fields displayed under Application details section, would be read only.

Language - Read only field

Draft Message - Read only field

Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Not Accepted (Remarks)

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

For Non Online response – The default would be pending. The user will be able to select from the drop list if the customer has accepted the draft or has request for change based on the above drop list responses.

Customer Remarks: The user can capture the remarks of the customer.

Response Date: Non Online channel – I will update the date on which the customer response has been received.

Online Channel – Read Only

Summary

Tiles Displayed in Summary

- Main Details - User can view the details about application details and LC details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view the availability and shipment details.
- Payments - User can view all details related to payments.
- Documents & Condition - User can view the documents required grid and the additional conditions grid.
- Charges: User can see the details for charges, commission and taxes.
- Preview Messages - User can view the preview details.
- Compliance - User can view compliance details with statuses.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Multi Level Approval

This stage allows the approver user to review and approve the Export LC Transfer transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

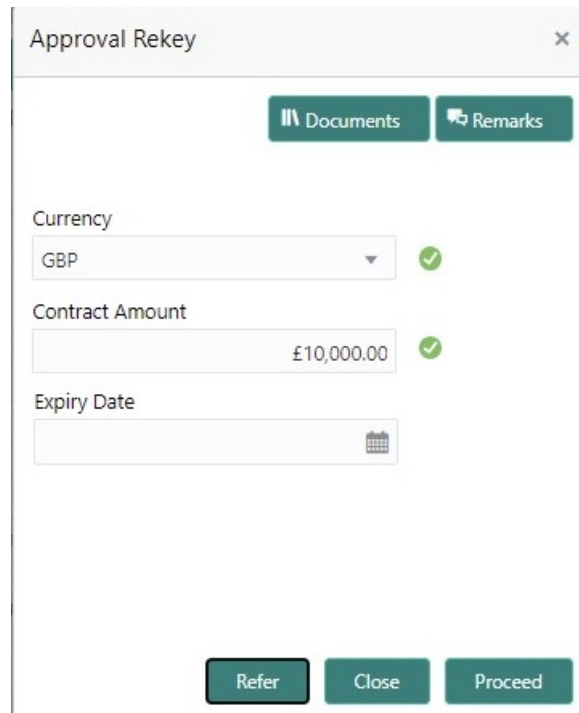
The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount
- Expiry Date



Approval Rekey

Documents Remarks

Currency
GBP ✓

Contract Amount
£10,000.00 ✓

Expiry Date

Refer Close Proceed

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Summary

My Tasks

(DEFAULTTENTITY)
(PK2) May 6, 2019

SRIDHAR02
subham@gmail.com

Export LC Transfer - Approval Task Level 1 :: Application No: PK2ELCT000056498
498

Summary

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22	Available With : ANYBANK Available By : NEGOTIATION Port of Loading : POL Port of Discharge : POD	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Preview Messages
Advice 1 : Advice 2 :	Revolving : N Revolving In : Revolving Frequency :	Limit Currency : USD Limit Contribution : 100000 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Accounting Details	Party Details	Compliance	
Component : Account Number : Currency :	Event : Account Number : Branch :	Beneficiary : Good Healt Confirming Bank : WELLS FARG Applicant : GOODCARE PLC	KYC : Not Verified Sanctions : Not Initia AML : Not Initia	

Audit

Reject
Refer
Hold
Approve
Back
Next

Tiles Displayed in Summary

- **Main Details** - User can view and modify details about application details and Export LC details. User can modify the details, if required.
- **Availability and Shipment** - User can view already captured availability and shipment details. User can modify the details, if required.
- **Documents and Conditions**: User can to view the details of Documents and Conditions.
- **Payments** - User can view all details related to payments. User can modify the details, if required.
- **Amendment Details** - User can view the amended details.
- **Additional Fields**: Banks can configure the additional fields during implementation.
- **Advices**: User should be able to view the advice details.
- **Commission, Charges and Taxes**: User can see the details provided for charges. User should be able to update the details if required.
- **Parties Details**: User can see the party details like beneficiary, advising bank etc.
- **Preview Messages**: User can see the preview details grid.
- **Settlement Details**: User can see the Settlement details.
- **Compliance Details**: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- **Accounting Details**: User can view the accounting details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval and return to dashboard. The data input will not be saved.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement Format

Customer Acknowledgment is generated every time a new Export LC Transfer is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Export LC Transfer with the below details:

Applicant: XXXX

Beneficiary: XXXX

Currency: XXXX

Amount: XXXX

Issue Date: XXXX

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute transfer of Export LC .

Thank you for banking with us.

Regards,

<DEMO BANK>

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Thank you

Customer - Reject Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Export LC Transfer Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Export LC Transfer application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to transfer the required Export LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Export LC Transfer review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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